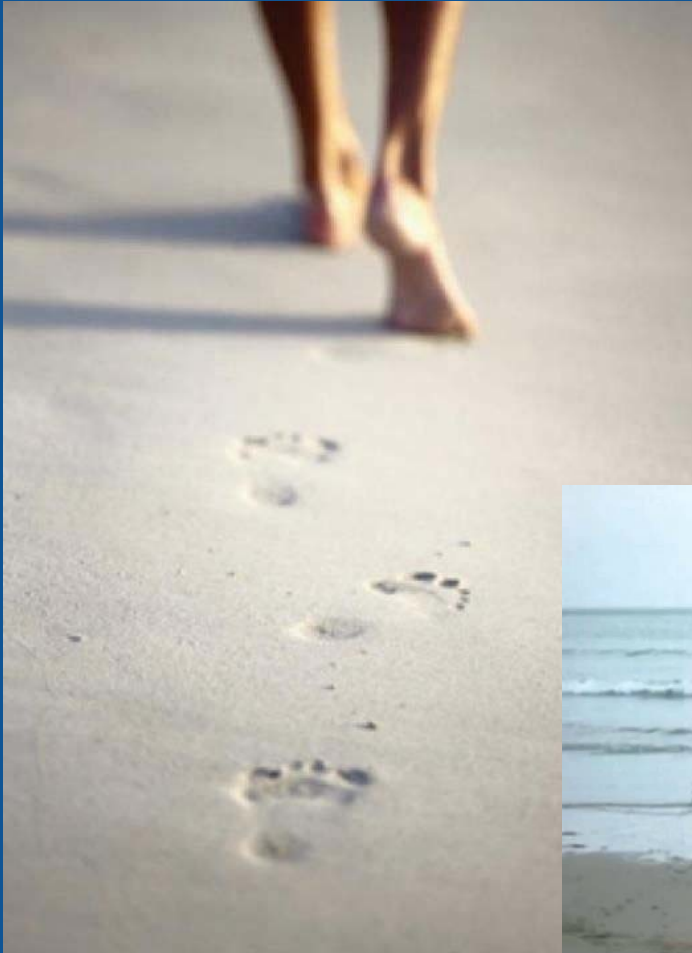




# **There is no excellence without research integrity**

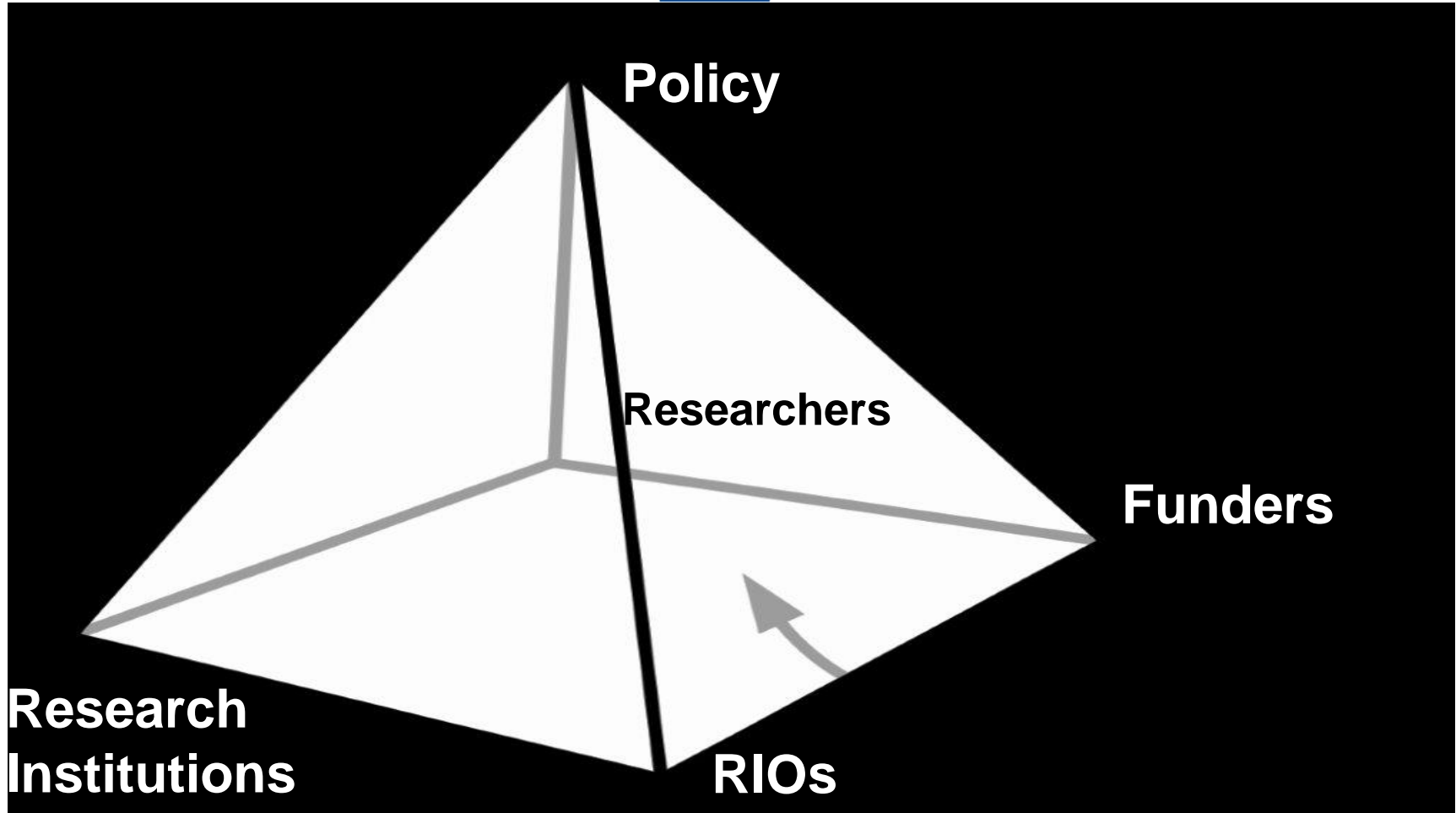
**Isidoros Karatzas**  
**Head of the Ethics and Research Integrity Sector**  
**SAM**  
**DG RTD**

# Trust comes on foot and leaves on horseback





European  
Commission



**The Research Integrity Pyramid**





# PROMOTING RESEARCH INTEGRITY IS A WIN-WIN POLICY

Adherence to the highest level of integrity is in the **interest of all the key actors** of the research and innovation system:

## 1) The scientific community

As underlined by different codes produced by scientific organisations: **breach of integrity is a threat to the society trust** in science and jeopardises the research system.

## 2) The research funders and governments

**Breaches of integrity is a huge waste of public money;**

◇ it significantly reduces the return on research investments and can be a threat to citizens' well-being.

◇ it can still have tremendous impact on public policies and **public budgets** such as on health care (e.g. misconduct in clinical trials) or on environment (e.g. impact of chemicals).

**It can also impact political decisions** that can be taken on wrong/biased **scientific advice** (e.g. climate change) and puts in doubt what we consider as accepted scientific knowledge; 'a fact' ...

### **3) For private/industrial actors**

Risk of fines, legal responsibility and loss of reputation, possible impact on stock prices

### **4) For society**

Mistrust and rejection of scientific findings



European  
Commission

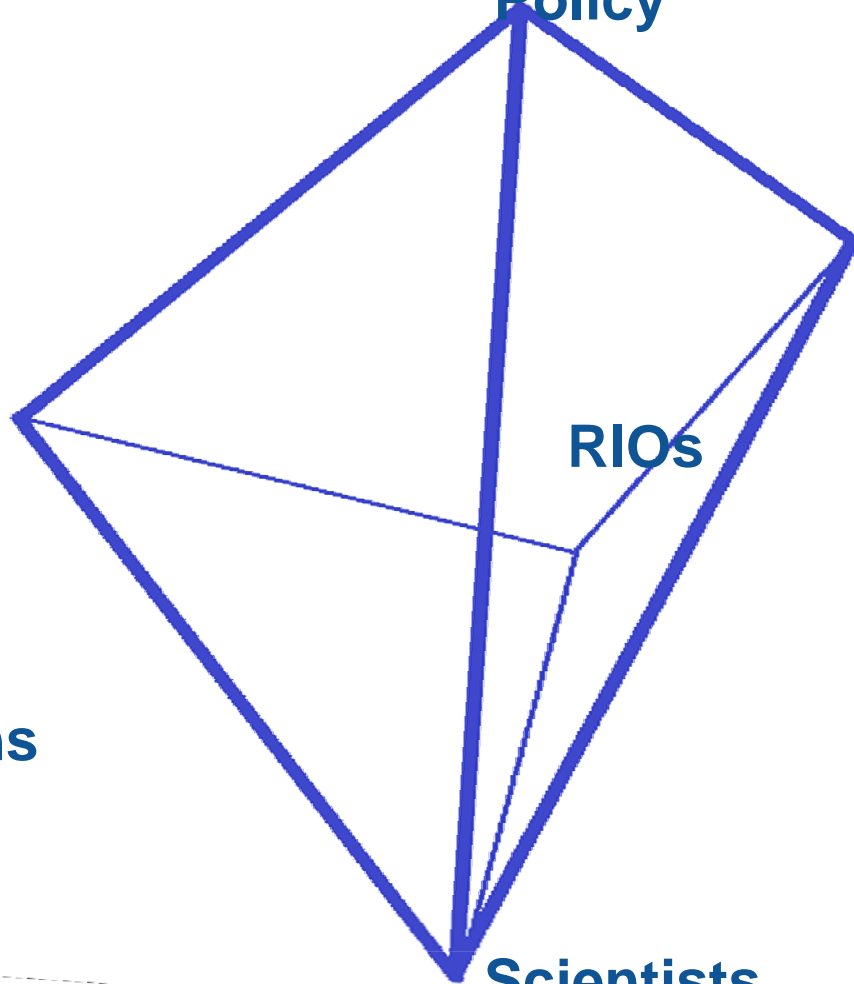
**Policy**

**RIOs**

**Funders**

**Institutions**

**Scientists**



# Dealing with misconduct/integrity; Shared experiences

## *A “Kubler-Ross” type scale for integrity*

A number of countries are still in some form of denial.

Newcomers in misconduct are angry.

More advanced countries are still struggling with bargaining.

The champions have passed to a depressed acceptance but their systems' resilience needs to be tested in the next big case.

Denial,Anger,Bargaining,Depression,Acceptance



# Common transcultural realities

1. Young researchers claim RI ignorance (education?)
2. Research as a career
3. Personalities count
4. Fear of failure (Research Governance)
5. Inclusiveness/co-creation/benefit sharing
6. Hate to love 'our' scandals
7. Dominance of the biomedical (ethics) model

# Research Integrity

**“it is *not* true that good can follow only from good and evil only from evil, but that often the opposite is true. Anyone who fails to see this is, indeed, a political infant.”**

— [Max Weber, \*Essays in Sociology\*](#)





# New roles and governance of institutions

From principles and ideas to actions and processes

Institutional stewardship

Shared responsibility (distributive integrity)

Lessons Learnt experiences:

(Sweden/Italy, France/Switzerland.....)

MUST use behavioural sciences to affect culture and attitudes



# Transcultural dialogue principles

(EU year of transcultural dialogue)

- Developing social and personal habits that will equip us for a more open and complex cultural environment (e.g. the new Lab)

And

- Better understanding of diverse perspectives and practices (informed consent)
- Having a 'seat on the table' (benefit sharing, codesign and shared ownership of research agendas)
- A new approach to the creative process (RI by design)

The TRUST CODE on conducting research in resource poor settings

<http://www.globalcodeofconduct.org/wp-content/uploads/2018/05/Global-Code-of-Conduct-Brochure.pdf>



# The Treaty on European Union

## *Article 2*

The Union is founded on the values of respect for human dignity, freedom, democracy, equality, the rule of law and respect for human rights...



# Horizon Framework Programmes

....it is the European Union's leading initiative to support Research and Innovation from concept to market complementing national and regional funding....



# **HORIZON Europe (2021-2027) Regulation**

*Article 15: ....Confirmation that all activities comply with the European Code of Conduct for Research Integrity*

*AND*

*Request by the legislator to provide training in research ethics and integrity*

## ■■■ from the Univ. of Gent research integrity committee site...

<https://hochstenbach.wordpress.com/2017/02/17/ugent-commission-on-research-integrity/>

*INTEGRITY AND ETHICS IN RESEARCH - CHERRY ON TOP OR PART OF THE MIXTURE?*







**THANK YOU  
FOR YOUR ATTENTION!**