Setting and maintaining standards and a willingness to act when these standards are breached?

- Publication is an integral part of the research process
- Investigators become authors and publish!
- Research institutions are usually 'the employer'
- Responsible for employee conduct
- Institutions must set and enforce standards

#### **Key Institutional responsibilities:**

- Devise and promulgate a 'Code of conduct'
- Education and training
- Policy for breach of conduct
- Policy for inter-institutional relationships
- Monitoring and Audit

#### **Code of conduct:**

- 'Good publication practice'
- Web based
- Part of 'induction pack'
- 'Sign off' that it has been read
- Check compliance at appraisal or performance review

#### **Education and training:**

- Who is an author?
- Included in all undergraduate courses
- Post-graduate: New researchers
- Research supervisors
- Check list for grant applications

#### Policy for breach of conduct:

- Must include publication and research misconduct
- Usually a 2-stage process
- Should be included in induction pack
- Must be enforced
- Penalties applied

#### Policy for inter-institutional relationships:

- Collaboration with other institutions
- Multi-authorship issues
- Relationships with funding agencies
- Responsibilities associated with research contracts
- Relationship with industry

#### **Monitoring and audit:**

- Responsibilities of research supervisors
- Responsibilities of research leads
- Responsibilities of heads of institution
- Routine internal audit?

#### **Summary:**

- Devise and promulgate a 'Code of conduct'
- Need for education and training
- Establish a policy for breach of conduct
- Policy for inter-institutional relationships
- Monitoring and Audit

Setting and maintaining standards and a willingness to act when these standards are breached?